Table of contents

LEGISLATION

1. Rules addressed to undertakings

1.1. Cartels and abuse of dominant position

1.1.1. Core legislation


1.1.2. Substantive rules

1.1.2.1. General rules

Commission Notice on the definition of relevant market for the purposes of Community competition law (OJ C 372, 9 December 1997, p. 5) .................. 64
Commission Notice on agreements of minor importance which do not appreciably restrict competition under Article 81(1) of the Treaty establishing the European Community (de minimis) (OJ C 368, 22 December 2003, p. 11) .................. 70
Guidelines on the effect on trade concept contained in Articles 81 and 82 of the Treaty (OJ C 101, 27 April 2004, p. 81) .................. 72
Guidelines on the application of Article 81(3) of the Treaty (OJ C 101, 27 April 2004, p. 97) .................. 84
Guidance on the Commission’s enforcement priorities in applying Article 102 to abusive exclusionary conduct by dominant undertakings (OJ C 45, 24 February 2009, p. 7) .................. 99

1.1.2.2. Vertical agreements

Guidelines on Vertical Restraints (OJ C 130, 19 May 2010, p. 1) .................. 115

Supplementary guidelines on vertical restraints in agreements for the sale and repair of motor vehicles and for the distribution of spare parts for motor vehicles (OJ C 138, 28 May 2010, p. 16) .................. 151

1.1.2.3. Horizontal agreements

Guidelines on the applicability of Article 81 of the EC Treaty to horizontal cooperation agreements (OJ C 3, 6 January 2001, p. 20) .................. 167
Draft Commission Regulation (EU) on the application of Article 101(3) of the Treaty on the functioning of the European Union to categories of specialisation agreements .................. 189
Draft Commission Regulation (EU) on the application of Article 101(3) of the Treaty on the functioning of the European Union to categories of research and development agreements .................. 191
Draft Communication from the commission – Guidelines on the applicability of Article 101 of the Treaty on the functioning of the European Union to horizontal cooperation agreements .................. 195

1.1.2.4. Transfer of technology

Index of the guidelines .................. 269

1.1.2.5. Insurance sector

Communication from the Commission on the application of Article 101(3) of the Treaty on the functioning of the European Union to certain categories of agreements, decisions and concerted practices in the insurance sector (OJ C 62, 30 March 2010, p. 20) .................. 275
## Table of contents

### 1.1.3. Procedural rules

- Communication 6 January 2010 – Guidance on procedures of the Hearing Officers in proceedings relating to Articles 101 and 102 TFEU .................................................. 280
- Best practices on the conduct of proceedings under Articles 101 and 102 TFEU, 6 January 2010, not published in the Official Journal .................................................. 291
- Best Practices for the submission of economic evidence and data collection in cases concerning the application of Articles 101 and 102 TFEU and in Merger cases, not published in the Official Journal .................................................. 303
- Commission Notice on co-operation within the Network of Competition Authorities (OJ C 101, 27 April 2004, p. 43) .................................................. 311
- Commission Notice on the co-operation between the Commission and the courts of the EU Member States in the application of Articles 81 and 82 EC (OJ C 101, 27 April 2004, p. 54) .................................................. 318
- Commission Notice on the handling of complaints by the Commission under Articles 81 and 82 of the EC Treaty (OJ C 101, 27 April 2004, p. 63) .................................................. 324
- Commission Notice on informal guidance relating to novel questions concerning Articles 81 and 82 of the EC Treaty that arise in individual cases (OJ C 101, 27 April 2004, p. 78) .................................................. 332
- Commission Notice on the rules for access to the Commission file in cases pursuant to Articles 81 and 82 of the EC Treaty, Articles 53, 54 and 57 of the EEA Agreement and Council Regulation (EC) N° 139/2004 (OJ C 325, 22 December 2005, p. 7) .................................................. 334
- Guidelines on the method of setting fines imposed pursuant to Article 23(2)(a) of Regulation N° 1/2003 (OJ C 210, 1 September 2006, p. 2) .................................................. 340
- Commission Notice on Immunity from fines and reduction of fines in cartel cases (OJ C 298, 8 December 2006, p. 17) ........................................................................... 342
- Commission staff working paper 2 April 2008 accompanying the White paper on damages actions for breach of the EC antitrust rules .................................................. 357

### 1.2. Concentration control

#### 1.2.1. Core legislation


#### 1.2.2. Substantive rules

- Commission Notice on the definition of relevant market for the purposes of Community competition law (OJ C 372, 9 December 1997, p. 3) .................................................. 382
- Merger Remedies Study, October 2005 .................................................. 400
- Guidelines on the assessment of horizontal mergers under the Council Regulation on the control of concentrations between undertakings (OJ C 31, 5 February 2004, p. 3) .................................................. 400
- Guidelines on the assessment of non-horizontal mergers under the Council Regulation on the control of concentrations between undertakings (OJ C 265, 18 October 2008, p. 6) .................................................. 411
- Commission Notice on restrictions directly related and necessary to concentrations (OJ C 56, 5 March 2005, p. 24) .................................................. 423
- Simplified method to obtain the average rate used to calculate the turnover .................................................. 454

#### 1.2.3. Procedural rules

- Best Practice Guidelines – The Commission’s Model Texts for Divestiture Commitments and the Trustee Mandate under the EC Merger Regulation, not published in the Official Journal .................................................. 456
- Model texts for divestiture commitments – Commitments to the European Commission, 2 May 2003, not published in the Official Journal .................................................. 460
- Model texts for trustee mandates, 2 May 2003, not published in the Official Journal .................................................. 460
- Best Practices on the conduct of EC merger control proceedings, not published in the Official Journal .................................................. 460
- Competition Information note on Art. 6, (1), c), 2nd sentence, of Regulation 139/2004 (abandonment of concentrations), 20 January 2004, not published in the Official Journal .................................................. 466

Commission Notice on the rules for access to the Commission file in cases pursuant to Articles 81 and 82 of the EC Treaty, Articles 53, 54 and 57 of the EEA Agreement and Council Regulation (EC) N° 139/2004 (OJ C 325, 22 December 2005, p. 7) .................................................. 484

Merger notification and procedures template, March 2008, not published in the Official Journal ................................. 484

Market share ranges in non confidential versions of merger decisions (11 December 2008) ........................................... 494

Best practices for the submission of economic evidence and data collection in cases concerning the application of Articles 101 and 102 TFEU and in Merger cases, not published in the Official Journal .................................................. 495

2. Rules addressed to Member States

2.1. State aid

2.1.1. Core legislation


2.1.2. Substantive law

2.1.2.1. General rules


2.1.2.2. Regional aid


Communication from the Commission – Multisectoral framework on regional aid for large investment projects (OJ C 70, 19 March 2002, p. 8) ............................................................................. 526


Communication from the Commission concerning the criteria for an in-depth assessment of regional aid to large investment projects (OJ C 223, 16 September 2009, p. 3) ............................................................................. 548

2.1.2.3. SME aid


2.1.2.4. Environmental aid


Community guidelines on State aid for environmental protection (OJ C 82, 1 April 2008, p. 1) ............................................................................. 578

2.1.2.5. Aid for Research, Development and Innovation


Community framework for state aid for research and development and innovation (OJ C 323, 30 December 2006, p. 1) ............................................................................. 602

2.1.2.6. Training Aid


Communication from the Commission – Criteria for the analysis of the compatibility of State aid for training subject to individual notification (OJ C 188, 11 August 2009, p. 1) ............................................................................. 620

2.1.2.7. Aid to disadvantaged and disabled workers

Table of contents

Communication from the Commission – Criteria for the analysis of the compatibility of State aid for the employment of disadvantaged and disabled workers subject to individual notification (OJ C 188, 11 August 2009, p. 6) .......................... 624

2.1.2.8. Financial and economic crisis: aid financial sector


Communication from the Commission on the treatment of impaired assets in the Community banking sector (OJ C 72, 26 March 2009, p. 1) .......................... 639

Commission communication on the return to viability and the assessment of restructuring measures in the financial sector in the current crisis under the State aid rules (OJ C 195, 19 August 2009, p. 9) .......................... 651

2.1.2.9. Other aid

Commission Communication on State aid elements in sales of land and buildings by public authorities (OJ C 209, 10 July 1997, p. 3) .......................... 659

Communication of the Commission to the Member States pursuant to Article 93 (1) of the EC Treaty applying Articles 92 and 93 of the Treaty to short-term export credit insurance (OJ C 281, 17 September 1997, p. 4) .......................... 661

Commission Notice on the application of the State aid rules to measures relating to direct business taxation (OJ C 384, 10 December 1998, p. 3) .......................... 665

Community guidelines on State aid for rescuing and restructuring firms in difficulty (OJ C 244, 3 October 2004, p. 2) .......................... 670

Communication from the Commission concerning the prolongation of the Community Guidelines on State aid for Rescuing and Restructuring Firms in Difficulty (OJ C 156, 9 July 2009, p. 3) .......................... 680


Temporary Community framework for State aid measures to support access to finance in the current financial and economic crisis (OJ C 83, 7 April 2009, p. 1) .......................... 688

Communication from the Commission amending the Temporary Community Framework for State aid measures to support access to finance in the current financial and economic crisis (OJ C 261, 31 October 2009, p. 2) .......................... 696

Communication from the Commission amending the Temporary Community Framework for State aid measures to support access to finance in the current financial and economic crisis (OJ C 303, 15 December 2009, p. 6) .......................... 697

2.1.3. Procedural rules


State aid complaint form – Form for the submission of complaints concerning alleged unlawful State aid .......................... 708

Commission communication 1 December 2003 on professional secrecy in State aid decisions (OJ C 297, 9 December 2003, p. 3) .......................... 709


Commission Notice – Towards an effective implementation of Commission decisions ordering Member States to recover unlawful and incompatible State aid (OJ C 272, 15 November 2007, p. 4) .......................... 724

Communication from the Commission on the revision of the method for setting the reference and discount rates (OJ C 14, 19 January, 2008, p. 6) .......................... 733

Notice from the Commission on a simplified procedure for treatment of certain types of State Aid (OJ C 136, 16 June 2009, p. 3) .......................... 735

Code of Best Practice for the conduct of State aid control procedures (OJ C 136, 16 June 2009, p. 13) .......................... 740

2.2. Public undertakings and services of general interest

2.2.1. Core legislation


2.2.2. Other documents


Communication from the Commission 12 May 2004 to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions – White Paper on services of general interest, COM (2004) 374 final .......................... 758

Commission decision 2005/842/EC, 28 November 2005 on the application of Article 86(2) of the EC Treaty to State aid in the form of public service compensation granted to certain undertakings entrusted with the operation of services of general economic interest (OJ L 312, 29 November 2005, p. 63) .......................... 767
### Table of contents

<table>
<thead>
<tr>
<th>Topic</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>Communication from the Commission on a Community framework for State aid in the form of public service compensation (Altmark-communication) (OJ C 297, 29 November 2005, p. 4)</td>
<td>769</td>
</tr>
<tr>
<td>Commission Directive 2006/111/EC 16 November 2006 on the transparency of financial relations between Member States and public undertakings, as well as on financial transparency within certain undertakings (OJ L 318, 17 November 2006, p. 17)</td>
<td>772</td>
</tr>
<tr>
<td>Communication from the Commission on services of general interest, including social services of general interest: a new European commitment, COM (2007) 725</td>
<td>775</td>
</tr>
<tr>
<td>Commission staff working document 20 November 2007 – Progress since the 2004 White paper on services of general interest – Accompanying document to the Communication on «Services of general interest, including social services of general interest: a new European commitment»</td>
<td>781</td>
</tr>
<tr>
<td>Model texts Concentration control</td>
<td>855</td>
</tr>
<tr>
<td>Model texts for divestiture commitments – Commitments to the European Commission, 2 May 2003, not published in the Official Journal</td>
<td>877</td>
</tr>
<tr>
<td>Model texts for trustee mandates, 2 May 2003, not published in the Official Journal</td>
<td>882</td>
</tr>
<tr>
<td>Notification forms State aid</td>
<td>888</td>
</tr>
<tr>
<td>Notification form regional aid</td>
<td>890</td>
</tr>
<tr>
<td>Notification form regional aid</td>
<td>891</td>
</tr>
<tr>
<td>Forms for the provisions of summary information under the reporting obligations of Regulation (EC) N° 800/2008</td>
<td>893</td>
</tr>
<tr>
<td>Model texts Concentration control</td>
<td>855</td>
</tr>
<tr>
<td>Model texts Concentration control</td>
<td>855</td>
</tr>
<tr>
<td>Model texts State aid</td>
<td>894</td>
</tr>
<tr>
<td>Notification forms State aid</td>
<td>896</td>
</tr>
<tr>
<td>Notification form regional aid</td>
<td>896</td>
</tr>
<tr>
<td>Forms for the provisions of summary information under the reporting obligations of Regulation (EC) N° 800/2008</td>
<td>896</td>
</tr>
</tbody>
</table>

---

**SELECTED CASE LAW**

**BIBLIOGRAPHY**

List of Periodicals and Abbreviations consulted for the Bibliography | 837

**FORMS**

**Complaint form articles 101 and 102 FEU**


**Inspection authorisation**


**Notification forms Concentration control**


---

**Public holidays 2010 and 2011**

Schedule of public holidays for 2010 (OJ C 166, 1 July 2008, p. 18) | 896